

## Data Calculation—Indicator B12: Early Childhood Preschool Transition



October 2024

### Indicator

Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays.

### Measurement

- a. # of children who have been served in Part C and referred to Part B for Part B eligibility determination.
- b. # of those referred determined to be NOT eligible and whose eligibility was determined prior to their third birthdays.
- c. # of those found eligible who have an IEP developed and implemented by their third birthdays.
- d. # of children for whom parent refusal to provide consent caused delays in evaluation or initial services or to whom exceptions under 34 CFR § 300.301(d) applied.
- e. # of children determined to be eligible for early intervention services under Part C less than 90 days before their third birthdays.
- f. # of children whose parents chose to continue early intervention services beyond the child's third birthday through a state's policy under 34 CFR § 303.211 or a similar state option.

Account for children included in (a), but not included in (b), (c), (d), (e), or (f). Indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed, and the reasons for the delays.

Percent = [(c) divided by (a - b - d - e - f)] multiplied by 100.

### General Considerations

Regardless of when a child is referred by Part C, if an evaluation is required and parent consent obtained, the state must monitor the Indicator B11 (Child Find, Timely Evaluation) timeline also.

### Numerator and Denominator Guidance

The **numerator** includes:

- The count of “timely” eligibility determinations and IEPs, which is Category C: the number of children referred by Part C to Part B for an eligibility determination who were found eligible and have an IEP developed and implemented by their third birthdays.

The **denominator** includes:

- The count of children for whom notification was received from Part C (Category A) minus the counts of children in Categories B, D, E, and F (if relevant).
- Category A includes *all* children referred regardless of the completion of the eligibility determination and IEP. (For example, if the child's IEP is yet to be developed, the child must be counted in the denominator even if Categories D or E do not apply to the situation.)

- Category D includes children whose guardians refused to provide consent for the evaluation or the IEP, who repeatedly failed to produce the child for the evaluation or to attend an IEP meeting prior to the third birthday, or who have moved out of the district.
- Category E only includes children who were determined eligible in Part C 45 to 90 days before their third birthday. These children are called “late referrals” to Part C.
  - This category does *not* include children receiving services in Part C for more than 90 days prior to the third birthday, but whose notification/referral was delayed and not received by Part B by that deadline. The state must meet the timeline when this occurs.
  - Children referred to Part C less than 45 days before their third birthday are *not* required to have an eligibility determination and IEP prior to the third birthday. These children are not counted in the indicator 12 calculation anywhere (but are included in indicator 11 if an evaluation is required).
- Category F only applies if the state has implemented the Part C extension option.

## Data Source

- Statewide data system/database
  - All children for the entire year

OR

- Monitoring
  - Selection of children from database or other source

## Data Points Needed for Calculation

- Date of the child’s third birthday
- Date of referral (notification) to Part B
- Current completion status of the Part B eligibility determination and IEP
- Date of Part B eligibility determination
- Date the IEP is signed and implemented
- Reason for delay, to count the child in Category D
- Information to determine whether the child was a late referral to Part C, to count the child in Category E
- If the state implements the Part C extension option, information to determine whether the family has opted to pursue this option, to count the child in Category F

## Example Calculation

2,977 children found eligible with an IEP implemented by age 3

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4,680 total children referred by Part C –

732 children found not eligible before age 3 –

721 families who did not provide consent {or other allowable exception} –

198 children eligible for Part C less than 90 days before age 3 –

0 children who continue in Part C through an approved state extended option

OR

$2,977 / (4,680 - 732 - 721 - 198 - 0) = 0.983 \times 100 = 98.3\%$  of children referred from Part C were found eligible with an IEP implemented by age 3

## Resources

- [Federal IDEA Part C and Part B Transition Requirements for Late Referrals to IDEA Part C \(135 days or less before a child's third birthday\)](#): This resource describes the requirements, roles, and responsibilities of Parts C and B programs within three time periods for late referrals to Part C.
- [OSEP 2023 Early Childhood Transition Questions and Answers](#): This resource incorporates longstanding policy and provides further OSEP clarification on early childhood transition requirements as children transition from the Part C to Part B.
- [OSEP Policy Letter to Jennifer Nix \(March 17, 2023\)](#): This letter responds to questions raised regarding the requirements under IDEA for children transitioning from Part C to Part B.

This data calculation document is one in a series of documents available at: <https://dasycenter.org/spp-apr-checklists-and-tips/>

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## About Us

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To learn more about the DaSy Center, visit the DaSy Center website at <http://www.dasycenter.org/>.